

NASA/TM—1998-206977



# Nonlinear Control of a Reusable Rocket Engine for Life Extension

Carl F. Lorenzo  
Lewis Research Center, Cleveland, Ohio

Michael S. Holmes and Asok Ray  
Pennsylvania State University, University Park, Pennsylvania

Prepared for the  
1998 American Control Conference  
cosponsored by AIAA, AICE, ASCE, ASME, AISE, IEEE, ISM&C, and SCS  
Philadelphia, Pennsylvania, June 24–26, 1998

National Aeronautics and  
Space Administration

Lewis Research Center

---

March 1998

Trade names or manufacturers' names are used in this report for identification only. This usage does not constitute an official endorsement, either expressed or implied, by the National Aeronautics and Space Administration.

Available from

NASA Center for Aerospace Information  
800 Elkridge Landing Road  
Linthicum Heights, MD 21090-2934  
Price Code: A03

National Technical Information Service  
5287 Port Royal Road  
Springfield, VA 22100  
Price Code: A03

# NONLINEAR CONTROL OF A REUSABLE ROCKET ENGINE FOR LIFE EXTENSION

Carl F. Lorenzo  
NASA Lewis Research Center  
21000 Brookpark Road  
Cleveland, OH 44135

Michael S. Holmes  
Asok Ray  
Pennsylvania State University  
University Park, PA 16802

## Abstract

This paper presents the conceptual development of a life-extending control system where the objective is to achieve high performance and structural durability of the plant. A life-extending controller is designed for a reusable rocket engine via damage mitigation in both the fuel ( $H_2$ ) and oxidizer ( $O_2$ ) turbines while achieving high performance for transient responses of the combustion chamber pressure and the  $O_2/H_2$  mixture ratio. The design procedure makes use of a combination of linear and nonlinear controller synthesis techniques and also allows adaptation of the life-extending controller module to augment a conventional performance controller of the rocket engine. The nonlinear aspect of the design is achieved using non-linear parameter optimization of a prescribed control structure.

Fatigue damage in fuel and oxidizer turbine blades is primarily caused by stress cycling during start-up, shutdown, and transient operations of a rocket engine. Fatigue damage in the turbine blades is one of the most serious causes for engine failure.

## Description of the Reusable Rocket Engine

A functional diagram for the operation and control of the reusable rocket engine under consideration is presented in Figure 1. Liquid hydrogen and liquid oxygen are individually pressurized by separate closed cycle turbopumps. Pressurized cryogenic fuel and oxygen are pumped into two high-pressure preburners which feed the respective turbines with fuel-rich hot gas. The fuel and oxidizer turbopump speeds and hence the propellant flow into the main thrust chamber are controlled by the respective preburner pressures. The exhaust from each turbine is injected into the main combustion chamber where it burns with the remaining oxidizer and is expanded through the rocket nozzle to generate thrust. The oxygen flow into each of the two preburners are independently controlled by the respective servo-controlled valves. The plant outputs of interest are the  $O_2/H_2$  mixture ratio and the main thrust chamber pressure.

A thermo-fluid-dynamic model of the rocket engine has been formulated for plant performance analysis and control systems synthesis. Standard lumped parameter methods have been used to approximate the partial differential equations describing mass, momentum, and energy conservation by a set of first-order differential equations. The plant model is constructed by causal interconnection of the primary subsystem models such as main thrust chamber, preburners, turbopumps, fuel and oxidizer supply header, and fixed nozzle regeneration cooling. The plant model has 18 state variables, two control inputs, and two controlled outputs.

## Life-Extending Control System

The fundamental concept of life-extending control was developed initially for rocket engines, however, it has broad

applications to other systems where both dynamic performance and structural durability are critical issues.

The architecture of the two-tier life-extending control (LEC) system is shown in Figure 2. The performance controller in the inner loop is designed to achieve a high level of dynamic performance. With a linearized plant (i.e., rocket engine) model, this controller can be designed using control synthesis techniques such as  $H_\infty$ -based  $\mu$ -synthesis to assure stability and performance robustness. The combination of plant dynamics and the performance controller in the inner loop becomes the augmented plant for the nonlinear damage controller design in the outer loop. The essential elements of the damage controller in the outer loop are: (i) a structural model that uses appropriate plant outputs to estimate the load conditions (e.g., stress at the critical locations); (ii) a time domain damage model that uses the load conditions to determine the damage rate and accumulation at the critical point(s); and (iii) the damage controller which is designed to reduce the damage rate and accumulation at the critical points, specifically under transient operations where the time-dependent load on the stressed structure is controllable.

## Design of the Linear Performance Controller

This section presents the design of a sampled-data performance controller (inner loop) for the reusable rocket engine using the  $H_\infty$  (or induced  $L_2$  norm to  $L_2$  norm) controller synthesis technique. This controller design method minimizes the worst case gain between the energy of the exogenous inputs and the energy of the regulated outputs of a generalized plant. The performance controller requires very good low frequency disturbance rejection to prevent the damage controller output,  $u_{dam}$ , from causing a long settling time in the plant outputs.

Figure 3 shows the setup used for the synthesis of the induced  $L_2$  norm controller for the rocket engine model with two inputs (fuel preburner oxidizer valve position and oxidizer preburner oxidizer valve position) and two outputs (main thrust chamber hot-gas pressure and  $O_2/H_2$  mixture ratio). The plant model is obtained by first linearizing the 18 state nonlinear model of the rocket engine at a combustion pressure of 2550 psi and an  $O_2/H_2$  ratio of 6.02. After linearization, the 18-state linear model is reduced to a 13-state linear model for the controller design via Hankel model order reduction, (maintaining model fidelity). The frequency-dependent performance weight,  $W_{perf}$ , consists of two components:  $W_{press}$ , which penalizes the tracking error of combustion chamber pressure  $W_{O_2/H_2}$  and, which penalizes the tracking error of the  $O_2/H_2$  ratio. The frequency-dependent control signal weight,  $W_{contr}$ , consists of two components:  $W_{H_2}$  which penalizes the fuel position preburner oxidizer valve motion and  $W_{O_2}$  which penalizes the oxidizer preburner oxidizer valve motion. The objectives of these control signal weights are: (i) prevention of large oscillations in the feedback control signal that may cause

valve saturation; and (ii) reduction of valve wear and tear due to high-frequency movements.

The parameters of both performance weights and control signal weights are initially selected based on the control system performance requirements and the knowledge of the plant dynamics; subsequently, the parameters are fine-tuned (Reference 1) based on the time-domain responses of the simulation experiments.

Using the generalized plant from Figure 3, a sampled-data controller is designed which is optimal in the induced  $L_2$ -norm sense. The controller provides acceptable reference signal tracking for the plant with reasonable control effort. It is found that reducing the order of the sampled-data controller from 21 states to 15 states causes no significant change in the controller dynamics from an input/output point of view. The 15-state controller is used in what follows.

### Damage Modeling

Damage modeling is a critically important aspect of Life-Extending Control. The damage model is continuous-time-based for use in the controller design procedure as well for the implementation of the controller itself. Since the model is embedded in the life extending control loop, it should be as mathematically and/or computationally simple as possible, while representing the damage rate with sufficient accuracy for control purposes. Fatigue damage of the oxygen and hydrogen turbo-pump turbine blades is selected as the damage mechanism (and critical locations). The fatigue damage model, used in the controller design, assumes that damage only occurs during tensile loading. For the current application it will be seen that the damage mitigation is derived by reducing the mean stress on the turbine blades. Therefore, the damage rate equation (Reference 1) gives the damage increment for one stress cycle as:

$$\delta_{cyc} = 2 \left( \frac{\sigma_a}{\sigma'_f - \sigma_m} \right)^{-1/b} \quad (1)$$

where  $\sigma_a$  is the stress amplitude,  $\sigma_m$  is the mean stress,  $\sigma'_f = 223.589$  ksi is the fatigue strength coefficient, and  $b = -0.0858$  is the fatigue strength exponent. The damage rate is calculated from the relation

$$\dot{D} = \left( \frac{\sigma_a}{\sigma'_f - \sigma_m} \right)^{-1/b} \frac{\Omega}{\pi} \quad (2)$$

where  $\Omega$  is the frequency of vibration of the blades in units of rad/sec. This model is used for both on-line damage estimation and in the off-line optimization.

### Design of the Nonlinear Damage Controller

The outer damage control loop is a cascaded combination of a structural estimator, a nonlinear fatigue damage model for the turbine blades, and a linear dynamic filter acting as the damage controller. The parameters of the dynamic filter are optimized to reduce the damage rate and accumulation at the critical points (i.e., fuel and oxidizer turbine blades) specifically under transient operations where the time-dependent

load on the stressed structure is controllable. The nonlinear damage model is a simplified representation of the material behavior so that it can be incorporated in the outer control loop for real-time execution.

The damage controller is designed as a discrete-time linear structure by directly optimizing the elements of its A, B, C, and D matrices. To decrease the number of parameters to be optimized, the A matrix is constrained to be a diagonal matrix with distinct real elements.

The parameters of the linear dynamic filter are identified by minimizing a cost functional using nonlinear optimization. The cost functional is evaluated by the simulation, and the simulation results are a function of the current damage controller chosen by the optimization routine. Since damage controllers designed using this method are directly based on the maneuver used in the optimization process, the maneuver should be chosen to be broadly representative of all plant operation. The resulting damage controller is then validated by examining the results of various other typical maneuvers that the plant is expected to perform with this damage controller in the damage feedback loop.

The simulation on which the design of the damage controller is based is a ramp-up of the main thrust chamber hot gas pressure from a level of 2700 psi to 3000 psi at a rate of 3000 psi/sec, followed by a steady state at the final 3000 psi pressure for 500 ms (see Figure 4). The  $O_2/H_2$  mixture ratio for this simulation is to be kept at a constant value of 6.02. After each simulation is performed, data representing the results of the simulation is sent to the cost functional subroutine. The value of accumulated damage for the  $O_2$  and  $H_2$  turbines at time  $t=0.6$  seconds is also used for the calculation of the value of the cost functional.

The cost functional includes the effects of both reference signal tracking (dynamic) performance and damage in the turbine blades:

$$J_{tot} = J_{perf} + J_{dam} \quad (3)$$

In the accumulated damage components, the initial accumulated damage is subtracted from the final damage at time  $NT=0.6$  seconds to penalize the damage accumulated during the maneuver. The initial fatigue damage for both the  $O_2$  and the  $H_2$  turbine blades is assumed to be  $D(0)=0.1$ .

Since the governing equations and the cost functional are nonlinear in nature, a nonlinear programming technique is used to identify the optimal parameters of the damage controller. Also, in order to evaluate the cost functional, a time consuming simulation must be performed. Therefore, a nonlinear programming technique known as Sequential Quadratic Programming (SQP) is employed, which has the reputation of being able to efficiently and successfully solve a wide range of nonlinear programming problems in which the evaluation of the cost functional is a computationally intensive procedure. A Sequential Quadratic Programming (SQP) Fortran Software package developed by Gill *et al.* at Stanford University called NPSOL is utilized to design the damage controller.

Interaction effects between the damage controller and the performance controller are minimized by; (i) requiring a high level of dynamic performance through the cost functional for the nonlinear optimization of the damage controller, and (ii) by the inherent frequency separation of the high frequency damage loop and the lower frequency performance loop.

## Simulation Results and Discussion

The damage controller is designed based on a transient which takes the chamber pressure from 2700 psi to 3000 psi (see Figures 5 to 10). Each plot displays two cases: (i) no damage control (i.e.,  $u(k) = u^{ff}(k) + u^{fb}(k)$ ); and (ii) with damage control (i.e.,  $u(k) = u^{ff}(k) + u^{fb}(k) + u^{dam}(k)$ ).

The chamber pressure trajectories for the two cases are compared in Figure 5. The damage controller causes a slower rise time, a longer settling time, and less overshoot in the chamber pressure transient. The damage controller also causes the  $O_2/H_2$  ratio to deviate farther from the desired value of 6.02 than the case with no damage control as seen in Figure 6. However, the mixture ratio settles to 6.02 at steady state and remains within acceptable bounds throughout the duration of the simulation for both cases.

The damage rate and accumulation plots for the first 1 second of the 2700 psi - 3000 psi simulation are shown in Figures 7 to 10. Also, Table 1 summarizes the accumulated damage after this time interval for the two simulation cases (i.e., with and without damage control) for the two turbine blades.

The loss of dynamic response of chamber pressure (Figure 5) and the modestly increased excursion in mixture ratio is the cost incurred for the improved damage performance. It is also observed that the slope of the accumulated damage (damage rate) at  $t=1.0$  seconds for the  $H_2$  turbine blade (Figure 7) indicates that there may be a relatively large steady state damage rate for that turbine. If this is found to be the case for longer times then the steady state damage accumulation would far outweigh the transient damage.

The quality of the control designed above is now tested on a transient maneuver which takes the chamber pressure from 2100

psi to 3000 psi at a rate of 3000 psi/sec (see Figures 11 to 16). This maneuver involves a larger pressure increase than the nominal maneuver used to design the damage controller, and, therefore, is expected to produce a larger amount of damage accumulation.

A comparison of the chamber pressure trajectories with and without the damage controller is shown in Figure 11. As in the 2700 psi to 3000 psi case, the damage controller acts to "slow down" the transient as it approaches the final pressure of 3000 psi. Although the damage controller causes the  $O_2/H_2$  ratio to deviate from the desired value of 6.02 more than it did during the 2700 psi to 3000 psi simulation, as seen in Figure 12, it settles to 6.02 at steady state and remains within acceptable bounds throughout the simulation. The mixture ratio is important in this application as an indicator of chamber temperature (and propellant utilization) since the damage model does not contain temperature effects.

The damage rate and accumulation plots for the first 1.2 seconds of the 2100 psi to 3000 psi simulation are shown in Figures 13 to 16. Table 2 summarizes the accumulated damage for this transient. In summary, the use of nonlinear optimization in the design of the damage controller achieved high levels of dynamic response and damage mitigation. The design approach is straightforward and the damage model worked well in this application. A detailed summary is presented in reference 1.

## References

- 1) Lorenzo, C.F., Holmes, M.S., and Ray, A. "Design of Life Extending Controls Using Nonlinear Parameter Optimization", NASA TP 3700 to be published, 1998.

Table 1. Accumulated Damage (at  $t=1$ ) for 2700 psi - 3000 psi Simulation.

	Without Damage Control	With Damage Control	Ratio
$H_2$ Blades	$1.13 \times 10^{-5}$	$6.15 \times 10^{-6}$	1.8
$O_2$ Blades	$1.21 \times 10^{-3}$	$3.45 \times 10^{-5}$	35.1

Table 2. Accumulated Damage (at  $t=1.2$ ) for 2100 psi - 3000 psi Simulation.

	Without Damage Control	With Damage Control	Ratio
$H_2$ Blades	$2.46 \times 10^{-5}$	$9.61 \times 10^{-6}$	2.6
$O_2$ Blades	$2.48 \times 10^{-3}$	$7.01 \times 10^{-5}$	35.4

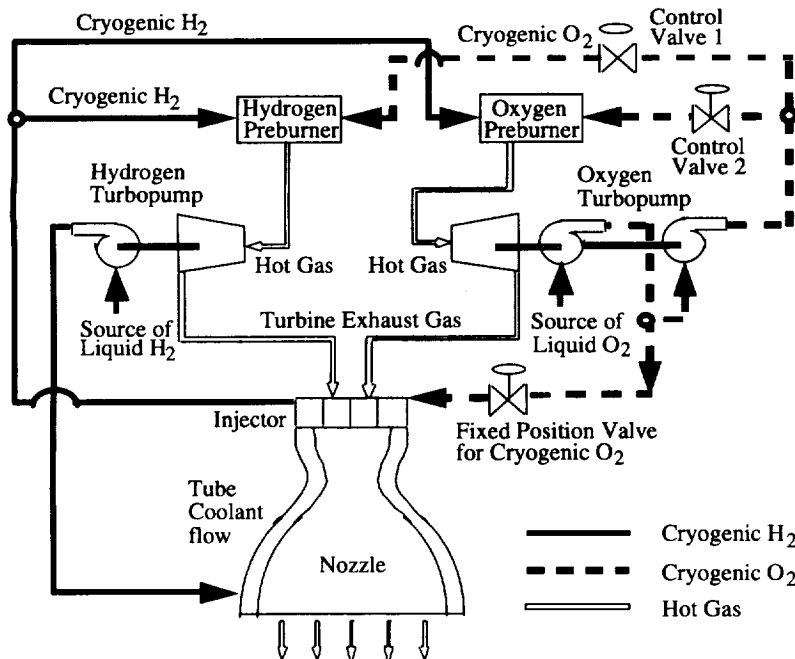
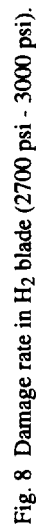
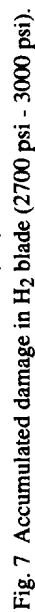
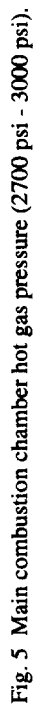
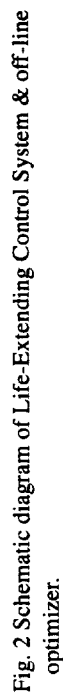


Fig. 1 Schematic diagram of reusable bi-propellant engine.



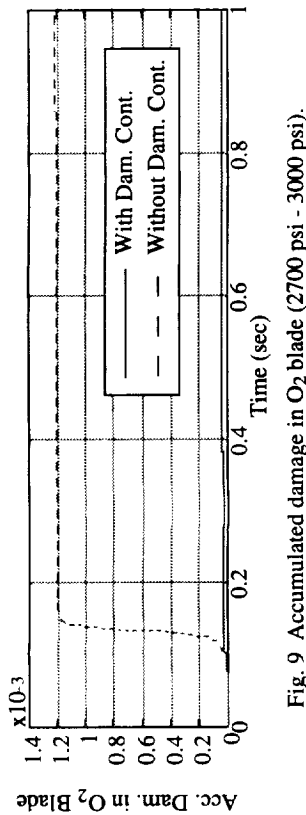


Fig. 9 Accumulated damage in O<sub>2</sub> blade (2700 psi - 3000 psi).

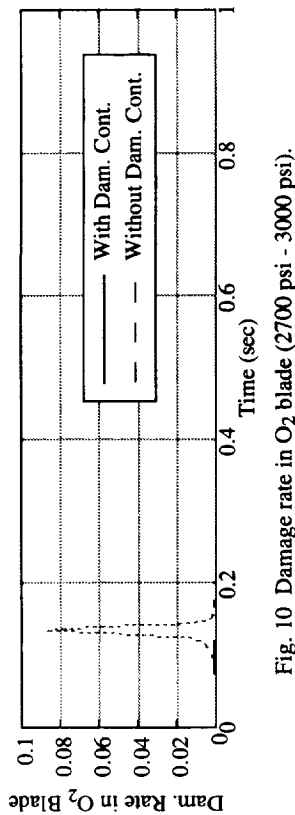


Fig. 10 Damage rate in O<sub>2</sub> blade (2700 psi - 3000 psi).

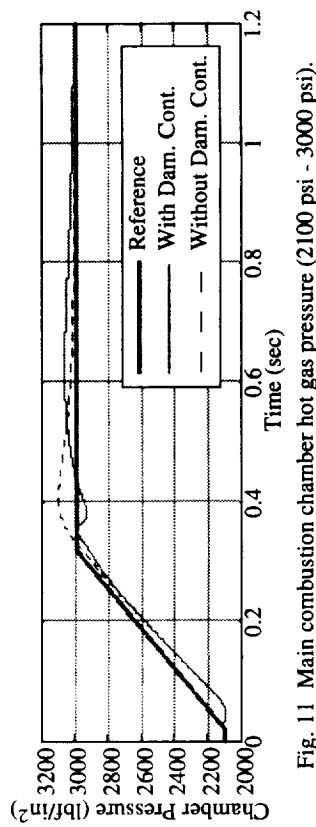


Fig. 11 Main combustion chamber hot gas pressure (2100 psi - 3000 psi).

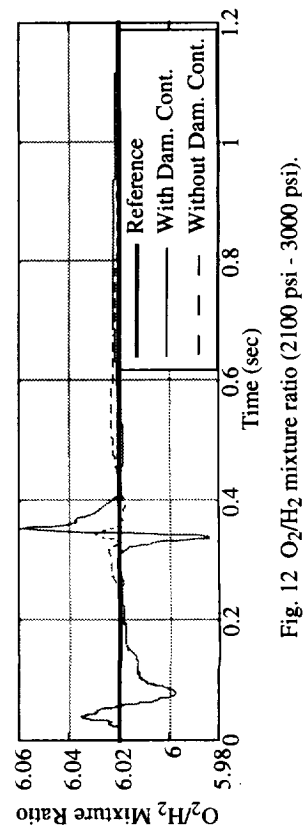


Fig. 12 O<sub>2</sub>/H<sub>2</sub> mixture ratio (2100 psi - 3000 psi).

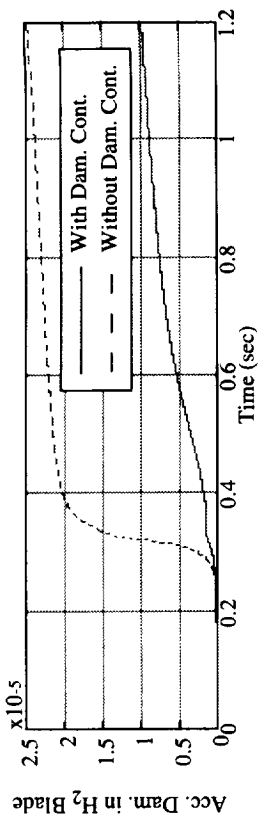


Fig. 13 Accumulated damage in the H<sub>2</sub> turbine blade (2100 psi - 3000 psi).

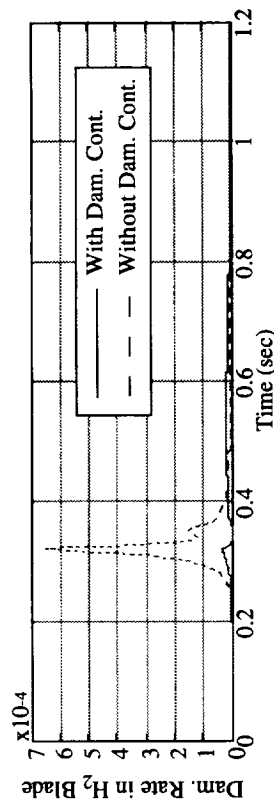


Fig. 14 Damage rate in the H<sub>2</sub> turbine blade (2100 psi - 3000 psi).

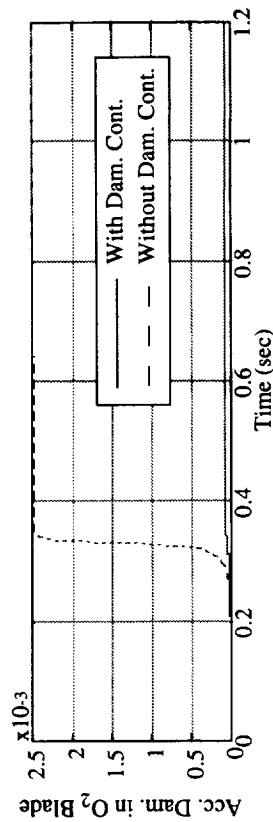


Fig. 15 Accumulated damage in the O<sub>2</sub> turbine blade (2100 psi - 3000 psi).

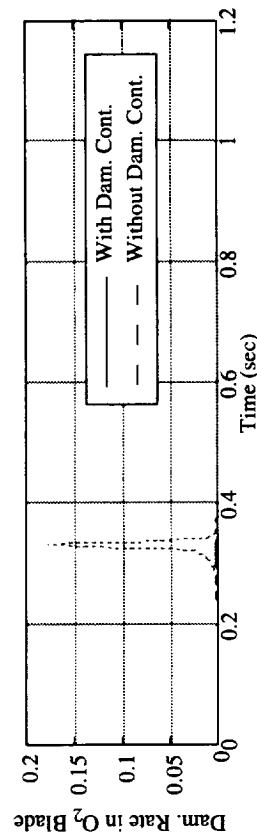


Fig. 16 Damage rate in the O<sub>2</sub> turbine blade (2100 psi - 3000 psi).

REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.				
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE March 1998	3. REPORT TYPE AND DATES COVERED Technical Memorandum		
4. TITLE AND SUBTITLE  Nonlinear Control of a Reusable Rocket Engine for Life Extension		5. FUNDING NUMBERS  WU-523-22-13-00		
6. AUTHOR(S)  Carl F. Lorenzo, Michael S. Holmes, and Asok Ray				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)  National Aeronautics and Space Administration Lewis Research Center Cleveland, Ohio 44135-3191		8. PERFORMING ORGANIZATION REPORT NUMBER  E-10965		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)  National Aeronautics and Space Administration Washington, DC 20546-0001		10. SPONSORING/MONITORING AGENCY REPORT NUMBER  NASA TM-1998-206977		
11. SUPPLEMENTARY NOTES  Prepared for the 1998 American Control Conference cosponsored by AIAA, AICE, ASCE, ASME, AISE, IEEE, ISM&C, and SCS, Philadelphia, Pennsylvania, June 24-26, 1998. Carl F. Lorenzo, NASA Lewis Research Center; Michael S. Holmes and Asok Ray, Pennsylvania State University, University Park, Pennsylvania 16802 (work funded under NASA Grant NAG3-2016). Responsible person, Carl F. Lorenzo, organization code 5500, (216) 433-3733.				
12a. DISTRIBUTION/AVAILABILITY STATEMENT  Unclassified - Unlimited Subject Categories: 63 and 20  This publication is available from the NASA Center for Aerospace Information, (301) 621-0390.			12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words)  This paper presents the conceptual development of a life-extending control system where the objective is to achieve high performance and structural durability of the plant. A life-extending controller is designed for a reusable rocket engine via damage mitigation in both the fuel (H <sub>2</sub> ) and oxidizer (O <sub>2</sub> ) turbines while achieving high performance for transient responses of the combustion chamber pressure and the O <sub>2</sub> /H <sub>2</sub> mixture ratio. The design procedure makes use of a combination of linear and nonlinear controller synthesis techniques and also allows adaptation of the life-extending controller module to augment a conventional performance controller of the rocket engine. The nonlinear aspect of the design is achieved using non-linear parameter optimization of a prescribed control structure. Fatigue damage in fuel and oxidizer turbine blades is primarily caused by stress cycling during start-up, shutdown, and transient operations of a rocket engine. Fatigue damage in the turbine blades is one of the most serious causes for engine failure.				
14. SUBJECT TERMS  Damage; Fatigue; Control; Modeling; Rocket engine; Life extension			15. NUMBER OF PAGES 11	
			16. PRICE CODE A03	
17. SECURITY CLASSIFICATION OF REPORT  Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE  Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT  Unclassified	20. LIMITATION OF ABSTRACT	